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TELEPHONE (703) 553-2563**FACSIMILE TRANSMITTAL**  
**to the U.S. Patent Office**

OFFICIAL

DATE: June 18, 2002

TO: Group 1600 FAX NO.: 703-872-9306  
FROM: John B. Alexander, Ph.D. FAX NO.: 617-439-4170

Serial No. 09/582,397 Atty.  
Filed On: June 24, 2000 Docket No. 49862 (71526)

Pages: 6 Including cover

**MESSAGE:****Please see attached Amendment for U.S. Serial No. 09/582,397.****Thank you.****John B. Alexander, Ph.D.**  
(Reg. No. 48,399)**NOTICE**

The message and/or information which accompanies this facsimile cover sheet is intended for the addressee named above only. If you are not the intended recipient, please contact the sender by collect telephone at the number indicated. You will be advised regarding the disposition of what you have received. The mis-delivery of the message and/or information which accompanies this facsimile cover sheet is not intended to be and shall not constitute a disclosure of trade secrets, of attorney work product or of an attorney-client communication. No waiver of any privilege is intended. Thank you for your attention to this matter.

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JUN 19 2002

GROUP 1600

Practitioner's Docket No. 49862 (71526) PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: S. Akira, et al.

SERIAL NO: 09/582,397

EXAMINER: R. E. Prouty

FILED: June 24, 2000

GROUP: 1652

FOR: IDENTIFICATION OF NOVEL SUBSTRATE I-Traf OF IKK-I KINASE  
Assistant Commissioner for Patents  
Washington, D.C. 20231

## AMENDMENT TRANSMITTAL

1. Transmitted herewith is an amendment for this application.

## STATUS

2. Applicant is  
☐ a small entity. A statement:  
☐ is attached.  
☐ was already filed.  
☒ other than a small entity.

## EXTENSION OF TERM

NOTE: "Extension of Time in Patent Cases (Supplement Amendments) -- If a timely and complete response has been filed after a Non-Final Office Action, an extension of time is not required to permit filing and/or entry of an additional amendment after expiration of the shortened statutory period.

If a timely response has been filed after a Final Office Action, an extension of time is required to permit filing and/or entry

## CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. SECTION 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

## MAILING

- ☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

## FACSIMILE

- ☒ transmitted by facsimile to the Patent and Trademark Office, Technology Center 1600 at 703-872-9306.

Date: June 18, 2002

  
Signature

Maggie C. Hamelin  
(type or print name of person certifying)

(Amendment Transmittal--page 1 of 4)

of a Notice of Appeal or filing and/or entry of an additional amendment after expiration of the shortened statutory period unless the timely-filed response placed the application in condition for allowance. Of course, if a Notice of Appeal has been filed within the shortened statutory period, the period has ceased to run." Notice of December 10, 1985 (1061 O.G. 34-35).

**NOTE:** See 37 C.F.R. Section 1.645 for extensions of time in interference proceedings, and 37 C.F.R. Section 1.550(c) for extensions of time in reexamination proceedings.

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. Section 1.136 apply.

*(complete (a) or (b), as applicable)*

- (a) ☐ Applicant petitions for an extension of time under 37 C.F.R. Section 1.136 (fees: 37 C.F.R. Section 1.17(a)(1)-(4)) for the total number of months checked below:

	Extension (months)	Fee for other than small entity	Fee for small entity
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 400.00	\$ 200.00
<input type="checkbox"/>	three months	\$ 920.00	\$ 460.00
<input type="checkbox"/>	four months	\$ 1,440.00	\$ 720.00

Fee: \$ \_\_\_\_\_

If an additional extension of time is required, please consider this a petition therefor.

*(check and complete the next item, if applicable)*

- ☐ An extension for \_\_\_\_\_ months has already been secured. The fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ \_\_\_\_\_

**OR**

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

(Amendment Transmittal--page 2 of 4)

**FEE FOR CLAIMS**

4. The fee for claims (37 C.F.R. Section 1.16(b)-(d)) has been calculated as shown below:

(Col. 1)		(Col. 2)		(Col. 3) SMALL ENTITY			OTHER THAN A SMALL ENTITY	
Claims Remaining After Amendment		Highest No. Previously Paid For		Present Extra	Rate	Addit. Fee	OR	Rate
Total	*	Minus	**	=	x \$9 =	\$		x \$18 =
Indep.	*	Minus	***	=	x \$42 =	\$		x \$84 =
[ ] First Presentation of Multiple Dependent Claim					+ \$140 =	\$		+ \$280 =
						Total Addit. Fee	OR	Total Addit. Fee
						\$		\$

\* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3,

\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest No. Previously Paid For" (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**WARNING:** "After final rejection or action (Section 1.113) amendments may be made canceling claims or complying with any requirement of form which has been made." 37 C.F.R. Section 1.116(a) (emphasis added).

(complete (c) or (d), as applicable)

(c) [X] No additional fee for claims is required.

OR

(d) [ ] Total additional fee for claims required \$ \_\_\_\_\_.

**FEE PAYMENT**

5. [ ] Attached is a check in the sum of \$ \_\_\_\_\_.
- [ ] Charge Account No. \_\_\_\_\_ the sum of \$ \_\_\_\_\_.
- A duplicate of this transmittal is attached.

(Amendment Transmittal--page 3 of 4)

**FEE DEFICIENCY**

**NOTE:** *If there is a fee deficiency and there is no authorization to charge an account, additional fees are necessary to cover the additional time consumed in making up the original deficiency. If the maximum, six-month period has expired before the deficiency is noted and corrected, the application is held abandoned. In those instances where authorization to charge is included, processing delays are encountered in returning the papers to the PTO Finance Branch in order to apply these charges prior to action on the cases. Authorization to charge the deposit account for any fee deficiency should be checked. See the Notice of April 7, 1986, (1065 O.G. 31-33).*

6. ☒ If any additional extension and/or fee is required, charge Account No. 04-1105

**AND/OR**

☒ If any additional fee for claims is required, charge Account No. 04-1105

  
SIGNATURE OF PRACTITIONER

Reg. No. 48,399

John B. Alexander, Ph.D.  
(type or print name of practitioner)

Tel. No. 617-439-4444

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#304607\_1



21874

PATENT TRADEMARK OFFICE

(Amendment Transmittal—page 4 of 4)

Docket No. 49862(71526)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: S. Akira, et al.  
SERIAL NO: 09/582,397 EXAMINER: R. E. Prouty  
FILED: June 24, 2000 GROUP: 1652  
FOR: IDENTIFICATION OF NOVEL SUBSTRATE I-Traf OF IKK-I KINASE

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

.....  
CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to Group 1600 of the U.S. Patent & Trademark Office by facsimile number 703-872-9106 on June 18, 2002.

By: Maggie C. Hamelin

Maggie C. Hamelin

.....  
Sir:

RESPONSE TO RESTRICTION REQUIREMENT

Applicants are in receipt of the Office Action dated May 21, 2002 in connection with the above-identified application.

In response to the Restriction Requirement, Applicants elect Group I, directed to claims 1-3 and 6-8, as that Group is defined in the Office letter. The election of Group I is being made solely to comply with the Restriction Requirement set forth in the Office Action. The right to file divisional applications on non-elected subject matter is reserved.

Early consideration and allowance of the application are earnestly solicited.

Respectfully submitted,

John B. Alexander  
John B. Alexander, Ph.D. (Reg. No. 48,399)  
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